



#2  
S.I  
11.0703

2681

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" (37 CFR 1.8)				Matter No.
Applicant(s): Daniel, et al.				#61804
Serial No.	Filing Date	Examiner	Group Art Unit	Conf. No.
09/916,190	July 26, 2001	Not Yet Assigned	2681	7093
Invention: RESOURCE MANAGEMENT IN CELLULAR NETWORKS				
<p>I hereby certify that a Transmittal (2 pages, in duplicate); Supplemental Information Disclosure Statement (5 pages); an Information Disclosure Statement by Applicant Form PTO/SB/08A (2 pages, with copies of 7 references); copy of International Search Report (7 pages); authorization to charge any fees which may be required to Deposit Account No. 50-1662; a Certificate of Mailing by First Class Mail (1 page); and a stamped, pre-addressed postcard are being mailed by U.S. Postal Service Express Mail to Addressee, Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, this 25<sup>th</sup> day of August, 2003.</p> <p style="text-align: center;">_____ Gayle Canfield (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;">_____ <i>Gayle Canfield</i> (Signature of Person Mailing Correspondence)</p> <p style="text-align: right;"><b>RECEIVED</b> AUG 29 2003 Technology Center 2600</p>				



**PATENT**  
Atty Docket No. 61804  
First Class Mail

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Y. Daniel, et al.  
Serial No.: 09/916, 190  
Filed: July 26, 2001  
For: Resource Management in Cellular  
Networks

Group No. 2681  
Examiner: Not Yet Assigned  
Conf. No. 7093

Mail Stop Non-Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

AUG 29 2003

Technology Center 2600

**TRANSMITTAL**

Dear Sir:

Attached hereto and transmitted herewith are the following:

- ☒ Supplemental Information Disclosure Statement (5 pages)
- ☒ Information Disclosure Statement by Applicant  
Form PTO/SB/08A (with copies of 7 references)
- ☒ Copy of International Search Report (7 pages)
- ☒ Certificate of Mailing by First Class Mail
- ☒ Stamped, Pre-Addressed Postcard

---

**CERTIFICATE OF MAILING**

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**MAILING**

- 37 C.F.R. §1.8**  
☒ as first class mail.

- ☐ **37 C.F.R. §1.10**  
as "Express Mail Post Office to Addressee"  
EXPRESS MAIL NO.

**FACSIMILE TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

\_\_\_\_\_  
Gayle Canfield  
Name of Depositor

\_\_\_\_\_  
Signature

\_\_\_\_\_  
August 25, 2003  
Date

**PATENT**  
Atty Docket No. 61804  
First Class Mail

If any fees might be due in connection with this paper, the Commissioner is authorized to charge any such fees against our Deposit Account No. 50-1662. A duplicate copy of this transmittal is attached.

Respectfully submitted,

POLSINELLI SHALTON & WELTE, P.C.

A handwritten signature in black ink, appearing to read 'JR Smith', followed by the date '8/25/03'.

---

Jerome R. Smith, Jr. Reg. No. 35,684  
700 W. 47<sup>th</sup> Street, Suite 1000  
Kansas City, Missouri 64112  
Tel: (816) 360-4119  
Fax: (816) 753-1536  
Attorney for Applicant

29215 / 61804  
JRSMI 1033555



**PATENT**  
Atty Docket No. 61804  
First Class Mail

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Y. Daniel, et al.  
Serial No.: 09/916,190  
Filed: July 26, 2001  
For: Resource Management In Cellular  
Networks

Group No. 2681  
Examiner: Not Yet Assigned  
Conf. No. 7093

**RECEIVED**

AUG 29 2003

Technology Center 2600

Mail Stop Non-Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form 1449. In sections I-IV, below, the paragraph(s) marked with an "x" in the requisite space are applicable to this Information Disclosure Statement.

**I. REQUEST FOR CONSIDERATION**

[ ] (a) The enclosed Information Disclosure Statement is being filed within three months of the filing date or within three months of the entry of the national stage of the above-

---

**CERTIFICATE OF MAILING**

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**MAILING**

- 37 C.F.R. §1.8  
☒ as first class mail.

- 37 C.F.R. §1.10  
☐ as "Express Mail Post Office to Addressee"  
EXPRESS MAIL NO.

**FACSIMILE TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Gayle Canfield  
Name of Depositor

Gayle Canfield  
Signature

August 25, 2003  
Date

identified application. Accordingly, applicant(s) believe(s) that no fee or certification is required.

☒ (b) Applicant(s) believe(s) the enclosed Information Disclosure Statement is entitled to the benefit of 37 CFR §1.97 (b) (3) as it is being filed before the mailing of the first Office Action on the merits. Accordingly, applicant(s) believe(s) that no fee or certification is required.

☐ (c) Applicant(s) believe(s) the enclosed Information Disclosure Statement is entitled to the benefit of 37 CFR §1.97 (b) (4) as it is being submitted before the mailing of an Office Action after the filing of a request for continued examination under 37 CFR §1.114. Accordingly, applicant(s) believe(s) that no fee for certification is required.

☐ (d) Pursuant to 37 CFR §1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:

☐ a certification under 37 CFR §1.97(e); or

☐ the fee set forth in 37 CFR §1.17 (p);

☐ a check for this fee is enclosed herewith; or

☐ please charge this fee to Deposit Account No. 50-1662.

## **II. PETITION UNDER 37 CFR 1.97 (d)**

☐ a) Pursuant to 37 CFR §1.97 (d), applicant(s) hereby petition(s) the Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state(s) that the issue fee has not been paid and that a certification under 37 CFR §1.97 (e) is provided herein, along with the petition fee of \$130.00 required under 37 CFR §1.17 (i) (1);

- ☐ a check for this petition fee is enclosed herewith;
- ☐ please charge this petition fee to Deposit Account No. 50-1662;

and

b) The information disclosure fee of \$200.00 required by 37 CFR §1.17 (p) is believed to be due, and

- ☐ a check for this fee is enclosed herewith; or
- ☐ please charge this fee to Deposit Account No. 50-1662.

**III. CERTIFICATION UNDER 37 CFR §1.97 (e) (1)**

☐ The undersigned hereby certifies that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of this statement. Art was cited in an International search Report mailed \_\_\_\_\_ in the PCT Application No. \_\_\_\_\_ corresponding to the above listed patent application.

☐ A copy of the \_\_\_\_\_ by the International Preliminary Examining Authority is enclosed herewith.

**IV. CERTIFICATION UNDER 37 CFR §1.97 (e) (2)**

☐ The undersigned hereby certifies that no item of information contained in the attached Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual having a duty of disclosure as set forth in 37 CFR §1.56 (c) more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a governmental or international or regional bureau searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 CFR §1.98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, applicant does not necessarily adopt the position reflected by that report.

**A copy of the International Search Report for PCT Application No. PCT/GB02/03415, corresponding to the above-listed patent application, is enclosed herewith.**

The information herein cited is only in fulfillment of Applicant's(s') duty of candor in disclosing all information brought to Applicant's(s') attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.


**PATENT**  
Atty Docket No. 61804  
First Class Mail

The Commissioner is hereby authorized to charge payment of any additional fees  
associated with this communication or credit any overpayment to Deposit Account No. 50-1662.

Respectfully submitted,

POLSINELLI SHALTON & WELTE, P.C.

Date: 8/25/2003

By:   
Jerome R. Smith, Jr. Reg. No. 35,684  
700 W. 47<sup>th</sup> Street, Suite 1000  
Kansas City, Missouri 64112  
Tel: (816) 360-4119  
Fax: (816) 753-1536  
Attorney for Applicant(s)

29215 / 61804  
JRSMI 1033551





